



DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION*

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

Chemokine-Like Factors (CKLFs) with Chemotactic and Hematopoietic Stimulating Activities

and for which a patent application:

☒ is attached hereto and includes amendment(s) filed on (if applicable)

☐ was filed in the United States on as Application No. (for declaration not accompanying application)

with amendment(s) filed on (if applicable)

☐ was filed as PCT international Application No. on and was amended under PCT Article 19 on (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION				
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	
99107284.7	China	14 May, 1999	YES <input checked="" type="checkbox"/>	NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

PROVISIONAL APPLICATION NUMBER	FILING DATE

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED
PCT/CN00/00026	15 February, 2000		X	

* for use only when the application is assigned to a company, partnership or other organization.
1177814-1

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2 0 1	FULL NAME OF INVENTOR	LAST NAME Ma	FIRST NAME Dalong	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY Beijing	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP China
	POST OFFICE ADDRESS	STREET No. 38 Xueyuan Road, Haidian District	CITY Beijing	STATE OR COUNTRY ZIP CODE 100083

SIGNATURE OF INVENTOR 201
Ma Dalong

DATE
8/3/2001

2 0 2	FULL NAME OF INVENTOR	LAST NAME Han	FIRST NAME Wenling	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY Beijing	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP China
	POST OFFICE ADDRESS	STREET No. 38 Xueyuan Road, Haidian District	CITY Beijing	STATE OR COUNTRY ZIP CODE 100083

SIGNATURE OF INVENTOR 202
Han Wenling

DATE
8/3/2001

2 0 3	FULL NAME OF INVENTOR	LAST NAME Zhang	FIRST NAME Yingmei	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY Beijing	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP China
	POST OFFICE ADDRESS	STREET No. 38 Xueyuan Road, Haidian District	CITY Beijing	STATE OR COUNTRY ZIP CODE 100083

SIGNATURE OF INVENTOR 203
Zhang Yingmei

DATE
8/3/2001

2 0 4	FULL NAME OF INVENTOR	LAST NAME Song	FIRST NAME Quansheng	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY Beijing	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP China
	POST OFFICE ADDRESS	STREET No. 38 Xueyuan Road, Haidian District	CITY Beijing	STATE OR COUNTRY ZIP CODE 100083

SIGNATURE OF INVENTOR 204
Song Quansheng

DATE
8/3/2001

2 0 5	FULL NAME OF INVENTOR	LAST NAME Di	FIRST NAME Chunhui	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY Beijing	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP China
	POST OFFICE ADDRESS	STREET No. 38 Xueyuan Road, Haidian District	CITY Beijing	STATE OR COUNTRY ZIP CODE 100083

		SIGNATURE OF INVENTOR 305 <i>Di Chunhui</i>		DATE <i>8/3/2001</i>	
2 0 6	FULL NAME OF INVENTOR	LAST NAME Huang	FIRST NAME Jiaqiang	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY Beijing	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP China	
POST OFFICE ADDRESS		STREET No. 38 Xueyuan Road, Haidian District	CITY Beijing	STATE OR COUNTRY	ZIP CODE 100083
		SIGNATURE OF INVENTOR 206 <i>Huang Jiaqiang</i>		DATE <i>8/3/2001</i>	
2 0 7	FULL NAME OF INVENTOR	LAST NAME Tang	FIRST NAME Jin	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY Beijing	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP China	
POST OFFICE ADDRESS		STREET No. 38 Xueyuan Road, Haidian District	CITY Beijing	STATE OR COUNTRY	ZIP CODE 100083
		SIGNATURE OF INVENTOR 207 <i>Jin Ji</i>		DATE <i>8/3/2001</i>	
2 0 8	FULL NAME OF INVENTOR	LAST NAME Chen	FIRST NAME Guanghui	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY Beijing	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP China	
POST OFFICE ADDRESS		STREET No. 38 Xueyuan Road, Haidian District	CITY Beijing	STATE OR COUNTRY	ZIP CODE 100083
		SIGNATURE OF INVENTOR 208 <i>Chen Guanghui</i>		DATE <i>8/3/2001</i>	



POWER OF ATTORNEY

Application of: Beijing Medical University and Beijing Medical University United Biological Engineering Company

Application No.: To be assigned

Group Art Unit: To be assigned

Filed: On even date herewith

Examiner: To be assigned

For: Chemokine Like Factors (CKLFs) with Chemotactic and Hematopoietic Stimulating Activities

Attorney Docket No.: 10776-003-999

**POWER OF ATTORNEY BY ASSIGNEE
AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints: S. Leslie Misrock (Reg. No. 18872), Berj A. Terzian (Reg. No. 20060), David Weild, III (Reg. No. 21094), Jonathan A. Marshall (Reg. No. 24614), Barry D. Rein (Reg. No. 22411), Stanton T. Lawrence, III (Reg. No. 25736), Charles E. McKenney (Reg. No. 22795), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Charles E. Miller (Reg. No. 24576), Gidon D. Stern (Reg. No. 27469), John J. Lauter, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Brian D. Coggio (Reg. No. 27624), Rory J. Radding (Reg. No. 28749), Stephen J. Harbulak (Reg. No. 29166), Donald J. Goodell (Reg. No. 19766), James N. Palik (Reg. No. 25510), Thomas E. Friebe (Reg. No. 29258), Laura A. Coruzzi (Reg. No. 30742), Jennifer Gordon (Reg. No. 30753), Allan A. Fanucci (Reg. No. 30256), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balancia (Reg. No. 31231), Samuel B. Abrams (Reg. No. 30605), Steven I. Wallach (Reg. No. 35402), Marcia H. Sundeen (Reg. No. 30893), Paul J. Zegger (Reg. No. 33821), Edmond R. Bannon (Reg. No. 32110), Bruce J. Barker (Reg. No. 33291), Adriane M. Antler (Reg. No. 32605), Thomas G. Rowan (Reg. No. 34419), James G. Markey (Reg. No. 31636), Thomas D. Kohler (Reg. No. 32797), Scott D. Stimpson (Reg. No. 33607), Gary S. Williams (Reg. No. 31066), William S. Galliani (Reg. No. 33885), Ann L. Gisolfi (Reg. No. 31956), Todd A. Wagner (Reg. No. 35399), Scott B. Familant (Reg. No. 35514), Kelly D. Talcott (Reg. No. 39582), Francis D. Cerrito (Reg. No. 38100), Anthony M. Insogna (Reg.

No. 35203), Brian M. Rothery (Reg. No. 35340), Brian D. Siff (Reg. No. 35679), Alan Tenenbaum (Reg. No. 34939), Michael J. Lyons (Reg. No. 37,386), Garland T. Stephens (Reg. No. 37,242) and William J. Sipio (Reg. No. 34,514), all of Pennie & Edmonds LLP, whose addresses are 1155 Avenue of the Americas, New York, New York 10036, 1667 K Street N.W., Washington, DC 20006 and 3300 Hillview Avenue, Palo Alto, CA 94304, all of Pennie & Edmonds LLP (PTO Customer No. 20583), as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71, provided that, if any one of these attorneys ceases being affiliated with the law firm of Pennie & Edmonds LLP as partner, counsel, or employee, then the appointment of that attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated.

An assignment of the entire interest in the above-identified subject application:

☐ was recorded on _____ at reel/frame / _____.
☒ is submitted herewith for recording.

Please direct all correspondence for this application to customer no. 20583.

ASSIGNEE: Beijing Medical University

Signature: _____

Typed Name: _____

Position/Title: _____

Address: _____

HAN Qide
HAN Qide
Director
No. 38 Xueyuan Road, Haidian District, Beijing
100083, China

Date: _____

March 10, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Beijing Medical University and Beijing Medical University United
Biological Engineering Company

Application No.: To be assigned Group Art Unit: To be assigned
Filed: On Even date herewith Examiner: To be assigned
For: Chemokine Like Factors (CKLFs) with Attorney Docket No.: 10776-003-999
Chemotactic and Hematopoietic Stimulating
Activities

**POWER OF ATTORNEY BY ASSIGNEE
AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71**

Assistant Commissioner for Patents
Washington, D.C. 20231

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POWER OF ATTORNEY

Gisolfi (Reg. No. 31956), Todd A. Wagner (Reg. No. 35399), Scott B. Familant (Reg. No. 35514), Kelly D. Talcott (Reg. No. 39582), Francis D. Cerrito (Reg. No. 38100), Anthony M. Insogna (Reg. No. 35203), Brian M. Rothery (Reg. No. 35340), Brian D. Siff (Reg. No. 35679), Alan Tenenbaum (Reg. No. 34939), Michael J. Lyons (Reg. No. 37,386), Garland T. Stephens (Reg. No. 37,242) and William J. Sipio (Reg. No. 34,514), all of Pennie & Edmonds LLP, whose addresses are 1155 Avenue of the Americas, New York, New York 10036, 1667 K Street N.W., Washington, DC 20006 and 3300 Hillview Avenue, Palo Alto, CA 94304, all of Pennie & Edmonds LLP (PTO Customer No. 20583), as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71, provided that, if any one of these attorneys ceases being affiliated with the law firm of Pennie & Edmonds LLP as partner, counsel, or employee, then the appointment of that attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated.

An assignment of the entire interest in the above-identified subject application:

☐ was recorded on _____ at reel/frame ____.

☒ is submitted herewith for recording.

Please direct all correspondence for this application to customer no. 20583.

ASSIGNEE: Beijing Medical University United Biological Engineering Company

Signature: ZHU Renying

Typed Name: ZHU Renying

Position/Title: Vice President

Address: No. 38 Xueyuan Road, Haidian District, Beijing
100083, China

Date: Mar. 10, 2007



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: : Application of: Ma et al.
Patent of:

: Application No.: To be assigned
Patent No.:

Group Art Unit: To be assigned

: Filed: On Even date herewith
Issued:

Examiner: To be assigned

For: Chemokine Like Factors (CKLFs) with
Chemotactic and Hematopoietic Stimulating Activities

Attorney Docket No.: 10776-003-999

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
[37 CFR 1.9(f) and 1.27(d)] - Nonprofit Organization

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of organization Beijing Medical University
Address of organization No. 38 Xueyuan Road, Haidian District, Beijing 100083, China

Type of organization

: University or other institution of higher education
Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
Nonprofit scientific or educational under statute of state of the United States of America
(Name of state _____)
(Citation of statute _____)
Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in the United States of America.
Would qualify as nonprofit scientific or educational under statute of state of the United States of America if located in the United States of America
(Name of state _____)
(Citation of statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled Chemokine Like Factors (CKLFs) with Chemotactic and Hematopoietic Stimulating Activity by inventor(s) Dalong Ma, Wenling Han, Yingmei Zhang, Quansheng Song, Chunhui Di, Jiaqiang Huang, Jian Tang, Guanghui Chen described in

: the specification filed herewith
application no. filed
patent no. issued

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization identified above and/or there is an obligation under contract or law by the inventor(s) to convey rights to the nonprofit organization identified above with regard to the invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

FULL NAME Beijing Medical University

ADDRESS No.38 Xueyuan Road, Haidian District, Beijing 100083 China

INDIVIDUAL : SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28 (b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Send correspondence to: PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, N.Y. 10036-2711

Direct Telephone calls to:
PENNIE & EDMONDS LLP
(212) 790-9090

Name of person signing HAN Qide

Title of person other than owner Director

Address of person signing No. 38 Xueyuan Road, Haidian District, Beijing 100083, China

Signature Han Qide

Date March 11, 2001

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.
(37 CFR 1.27)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: : Application of: Ma et al.
Patent of:

: Application No.: To be assigned
Patent No.:

: Filed: On Even date herewith
Issued:

For: Chemokine Like Factors (CKLFs) with
Chemotactic and Hematopoietic Stimulating Activities

Group Art Unit: To be assigned

Examiner: To be assigned

Attorney Docket No.: 10776-003-999

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
[37 CFR 1.9(f) and 1.27(c)] - Small Business Concern

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby declare that I am

the owner of the small business concern identified below:
an official of the small business concern empowered to act in behalf of
the concern identified below:
Name of organization Beijing Medical University United Biological Engineering
Company
Address of organization No. 38 Xueyuan Road
Haidian District, Beijing 100083
China

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the person employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern and/or there is an obligation under contract or law by the inventor(s) to convey rights to the small business concern with regard to the invention entitled Chemokine Like Factors (CKLFs) with Chemotactic and Hematopoietic Stimulating Activity by inventor(s) Dalong Ma, Wenling Han, Yingmei Zhang, Quansheng Song, Chunhui Di, Jiaqiang Huang, Jian Tang, Guanghui Chen described in

: the specification filed herewith

application no. filed
patent no. issued

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

FULL NAME Beijing Medical University United Biological Engineering
ADDRESS No. 38 Xueyuan Road, Haidian District, Beijing 100083, China

INDIVIDUAL SMALL BUSINESS CONCERN : NONPROFIT ORGANIZATION

FULL NAME
ADDRESS

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28 (b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Send correspondence to: PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, N.Y. 10036-2711

Direct Telephone calls to:
PENNIE & EDMONDS LLP
(212) 790-9090

Name of person signing ZHU Renying
Title of person other than owner Vice President
Address of person signing No. 38 Xueyuan Road, Haidian District, Beijing 100083, China

Signature ZHU Renying Date Mar. 11, 2001

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averting to their status as small entities.
(37 CFR 1.27)